

LCA and some other topics on tree

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Notes

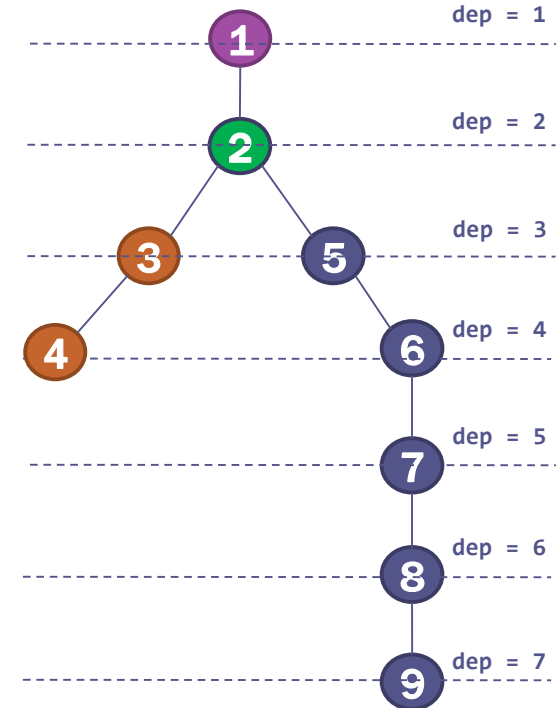
- u, v : vertex/node on tree
 - rt : the root of the tree
 - $fa(u)$: the father of u
 - $son(u)$: sons of u
 - $sub(u)$: all vertex in subtree of u (include u)
 - $path(u, v)$: all vertex on the simple path from u to v
 - $anc(u)$: all vertex $v \in path(u, rt)$ (include u)
 - $lca(u, v)$: lowest common ancestor of u and v
 - $dist(u, v)$: number of edges in the path from u to v
-
- $dep[u] = dist(u, rt) + 1$
 - $dfn[u]$ = the dfs order of u
 - $anc[u][i]$ = the 2^i ancestor of u

Lowest Common Ancestor

1. concept
2. binary lifting method
3. other methods

Lowest Common Ancestor(lca)

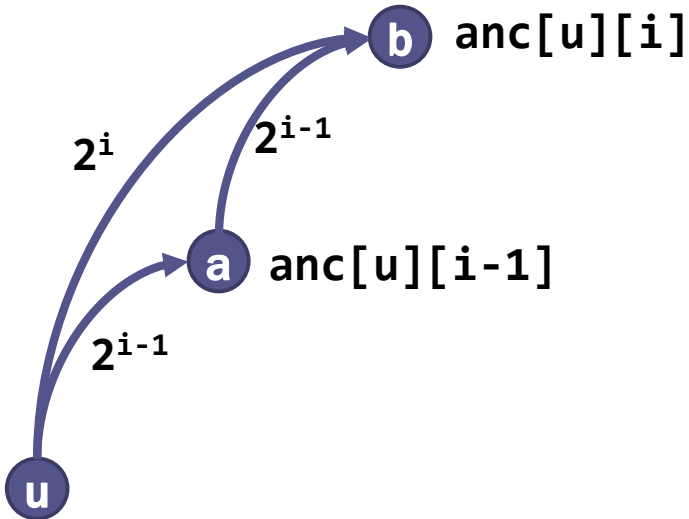
- Given a rooted tree and 2 nodes u and v :
 - $anc(u)$
 - all vertices $v \in path(u, rt)$
 - Common Ancestors of (u, v)
 - intersection of $anc(u)$ and $anc(v)$
 - $Lca(u, v)$
 - the vertex with the maximum depth among all the common ancestors of (u, v)



$anc(4) = \{1, 2, 3, 4\}$
 $anc(9) = \{1, 2, 5, 6, 7, 8, 9\}$
 common anc. of $(4, 9) = \{1, 2\}$
 $lca(4, 9) = 2$

binary lifting method

- Pre-processing in $O(n \log n)$
- $\text{anc}[u][i]$ = the 2^i -th ancestor of u
 - $\text{anc}[u][0] = \text{fa}[u]$
 - $\text{anc}[u][i] = \text{anc}[\text{anc}[u][i-1]][i-1]$
- DP: $\text{anc}[v][\cdot]$ for all $v \in \text{anc}(u)$ must be known before calculating $\text{anc}[u][\cdot]$ \rightarrow dfs order
- These information allow us to jump from u to any $v \in \text{anc}(u)$ in $O(\log n)$ time.



```
vector<int> adj[MAXN];
int dfn[MAXN], timer = 0;
int dep[MAXN], anc[MAXN][21];

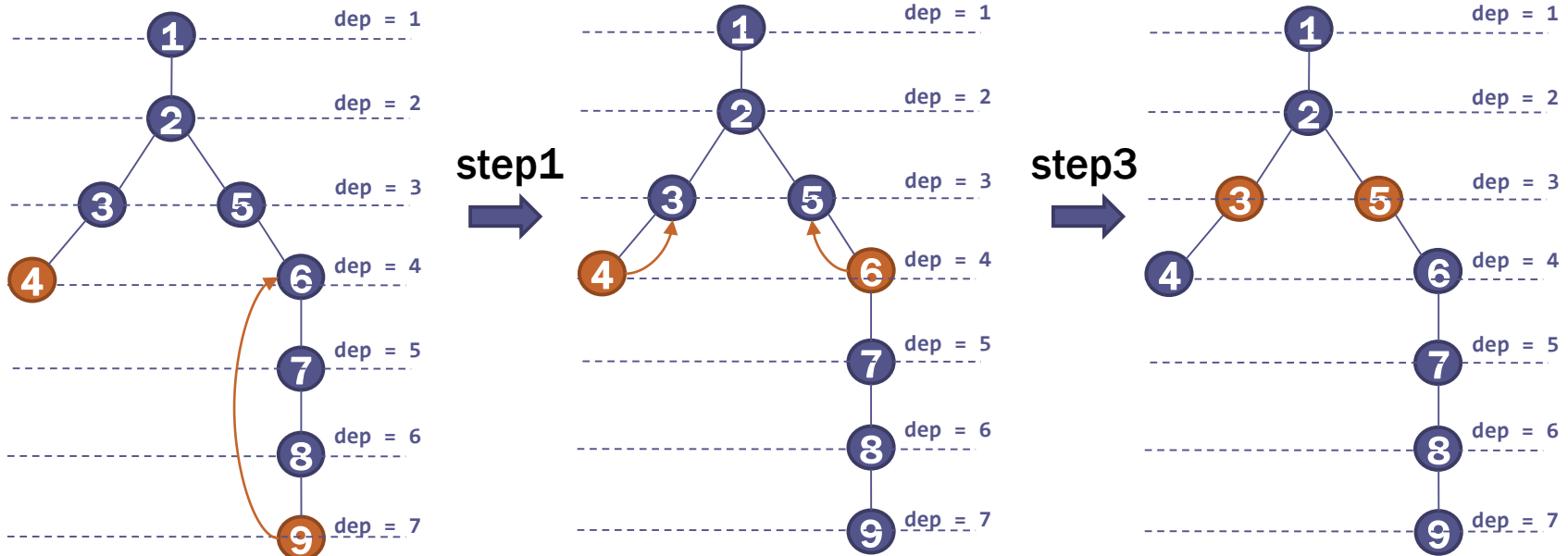
void dfs(int u){
    dfn[u] = ++timer;

    for(int j=1; j<=20; j++){
        anc[u][j] = anc[anc[u][j-1]][j-1];
    }

    int v;
    for(int k=0; k<adj[u].size(); k++){
        v = adj[u][k];
        if(dfn[v]) continue;
        dep[v] = dep[u] + 1;
        anc[v][0] = u;
        dfs(v);
    }
}
```

binary lifting method

- step1: jump to same level
- step2: check if u and v on same chain
- step3: jump simultaneously to the nearest level under lca



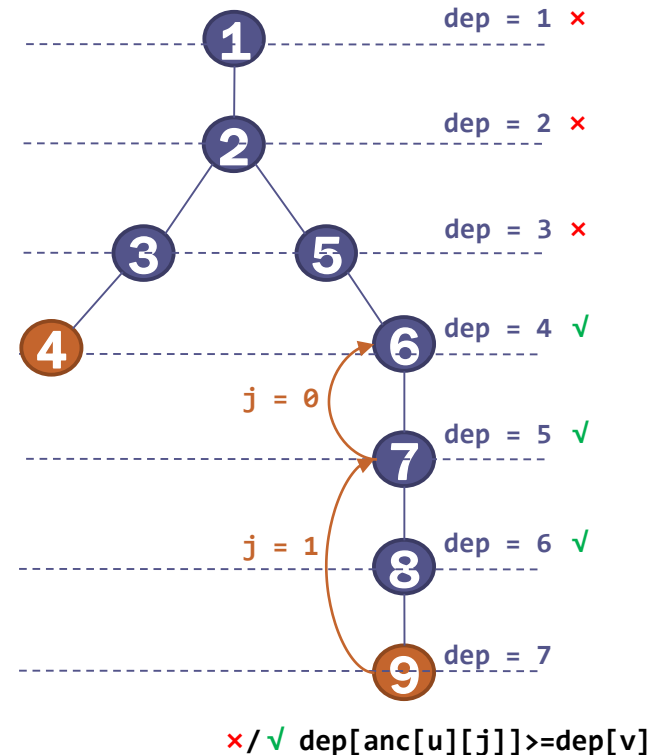
step1

```

if(dep[u] < dep[v]) swap(u,v);
//step1 jump to same level
for(int j=20;j>=0;j--){
    if(dep[anc[u][j]] >= dep[v]){
        u = anc[u][j];
    }
}

```

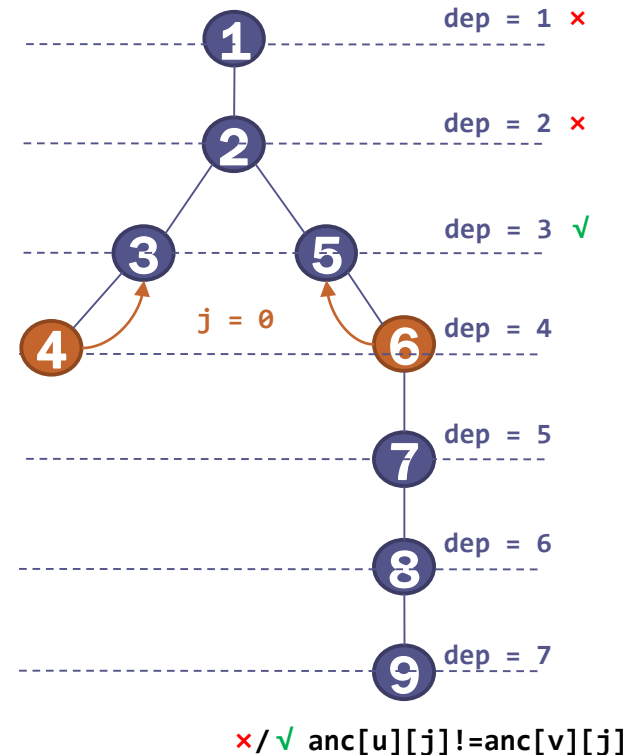
- $v = 4$, $dep[v] = 4$
- $u = 9$, $dep[u] = 9$
- $j = 1$:
 - $anc[u][1] = 7$, $dep[7] = 5$
 - check $dep[anc[u][j]] \geq dep[v] \rightarrow \checkmark$
 - $u = anc[u][1] = 7$
- $j = 0$:
 - $anc[u][0] = 6$, $dep[6] = 4$
 - check $dep[anc[u][j]] \geq dep[v] \rightarrow \checkmark$
 - $u = anc[u][0] = 6$



step3

```
//step3 jump to the nearest level under lca
for(int j=20;j>=0;j--){
    if(anc[u][j] != anc[v][j]){
        u = anc[u][j];
        v = anc[v][j];
    }
}
```

- $v = 4$
- $u = 6$
- $j = 0$:
 - $anc[u][0] = 5$
 - check $anc[u][j] \neq anc[v][j] \rightarrow \checkmark$
 - $u = anc[u][0] = 5$
- $lca = anc[u][0] = anc[v][0] = 2$



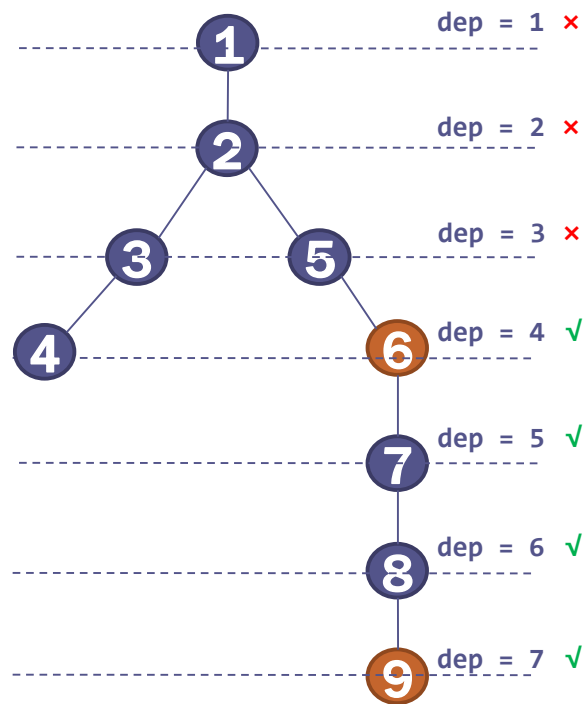
binary lifting method - code

```

int lca(int u, int v){
    if(dep[u] < dep[v]) swap(u,v);
    //step1 jump to same level
    for(int j=20;j>=0;j--){
        if(dep[anc[u][j]] >= dep[v]){
            u = anc[u][j];
        }
    }
    //step2 on same chain
    if(u == v) return u;

    //step3 jump to the nearest level under lca
    for(int j=20;j>=0;j--){
        if(anc[u][j] != anc[v][j]){
            u = anc[u][j];
            v = anc[v][j];
        }
    }
    return anc[u][0];
}

```



Why need step2?

LCA - Other methods

- Heavy-Light Decomposition
 - any path can be decomposed to $\sim \log(N)$ heavy chains
 - $O(n) - O(\log n)$
- Reduction to RMQ
 - Euler Tour: write down the depth while each time you enter a vertex, total len = $2(n-1)$
 - $\text{lca}(u, v)$ = minimum element in interval $[\text{first}[u], \text{first}[v]]$
 - where $\text{first}[u]$ is the position of first occurrence of u in Euler Tour
 - Sparse Table: $O(n \log n) - O(1)$
 - Restricted(± 1) RMQ: so-called *Farach-Colton and Bender Algorithm*[2], $O(n) - O(1)$
- *Tarjan's Algorithm*[1]
 - offline
 - Dfs and DSU
 - $O(n\alpha(n))$

Some other tricks on tree

1. difference array & partial sum on tree
2. in-out difference on tree



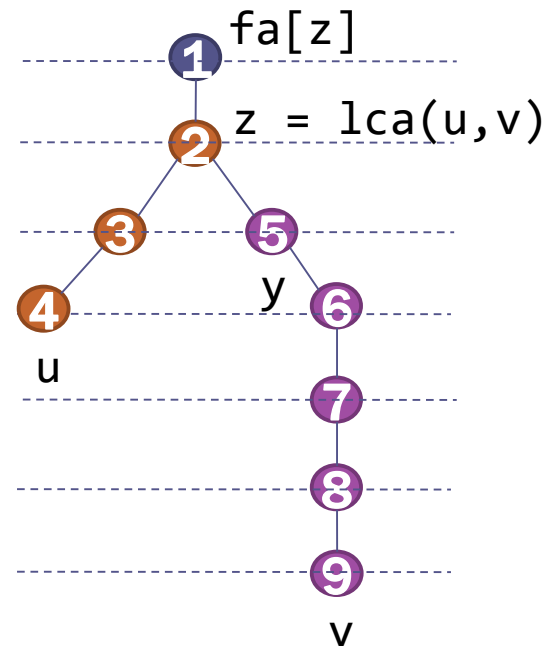
difference array & partial sum

- Problem:

- giving a tree with weight on vertex $a[1..n]$ (initially all zero), m updates:
 - $(u \ v \ x)$: add x on *path*(u, v)
- output the $a[u]$ after all queries
- n = number of vertex
- m = number of query
- $n, m \sim 1e5$
- offline/online

difference array & partial sum

- define the difference array on tree:
 - $d[u] = a[u] - \sum a[\text{son}(u)]$
- adding x on $\text{path}(u, v)$ can be decomposed by adding on 2 chains:
 - add x on $\text{path}(u, z)$, where $z = \text{lca}(u, v)$
 - add x on $\text{path}(v, y)$, where y is both $\text{son}(z)$ and $\text{anc}(v)$
- add x on $\text{chain}(u, z)$, where $z \in \text{anc}(u)$
 - $d[u] += x$
 - $d[\text{fa}[z]] -= x$
- ask $a[u]$
 - $a[u] = \sum d[\text{sub}(u)]$



difference array	on sequence	on tree
definition	$d[1] = a[1]$ $d[i] = a[i] - a[i-1]$	$d[\text{leaf}] = a[\text{leaf}]$ $d[i] = a[i] - \sum a[\text{son}(i)]$
query $a[i]$	$a[i] = \sum d[1 \dots i]$	$a[i] = \sum d[\text{sub}(i)]$

- offline: $O(n \log n)$

update $d[]$ in $O(\log n)$ time per query,
dfs traversal processing sum for all subtrees

```
//offline  $O(n \log n)$  -  $O(1)$ 
int update(int u, int v, int x){// $O(\log n)$ 
    int z = lca(u,v);
    d[u] += x;
    d[v] += x;
    d[z] -= x;
    d[fa[z]] -= x;
}

void dfs(int u){// $O(n)$ 
    dfn[u] = ++timer;

    int v;
    for(int k=0; k<adj[u].size(); k++){
        v = adj[u][k];
        if(dfn[v]) continue;
        dfs(v);
        d[u] += d[v];
    }
    ans[u] = d[u]; //a[u] =  $\sum d[\text{sub}(u)]$ 
}
```

- online: $O(n \log n)$

the dfs order of $\text{sub}(u)$ form a continuous interval,
use a BIT with range sum function

```
//online  $O(n \log n)$  -  $O(\log n)$ 
int lowbit(int x){
    return x & (-x);
}

int change(int u, int x){
    for(int i = u; i<=n; i+=lowbit(i)){
        fw[i] += x;
    }
}

int prefix_sum(int x){
    int ans = 0;
    for(int i = x; i>0; i-=lowbit(i)){
        ans += fw[i];
    }
    return ans;
}

int update(int u, int v, int x){// $O(\log n)$ 
    int z = lca(u,v);
    change(dfn[u], x);
    change(dfn[v], x);
    change(dfn[z], -x);
    change(dfn[fa[z]], -x);
}

int query(int u){// $O(\log n)$ 
    return prefix_sum(dfn[u]+sz[u]-1) - prefix_sum(dfn[u]-1);
}
```

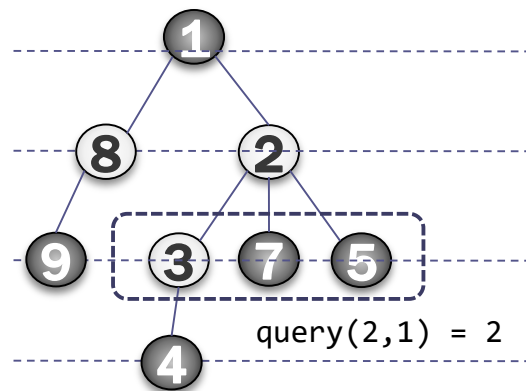
in-out difference on tree

- Problem:

- giving a rooted tree, vertex is white or black. m queries:

- $query(u, k)$: output the number of black vertex $v \in sub(u)$ and $dist(u, v) = k$

- n = number of vertex
- m = number of query
- $n, m \sim 1e5$
- Offline/online

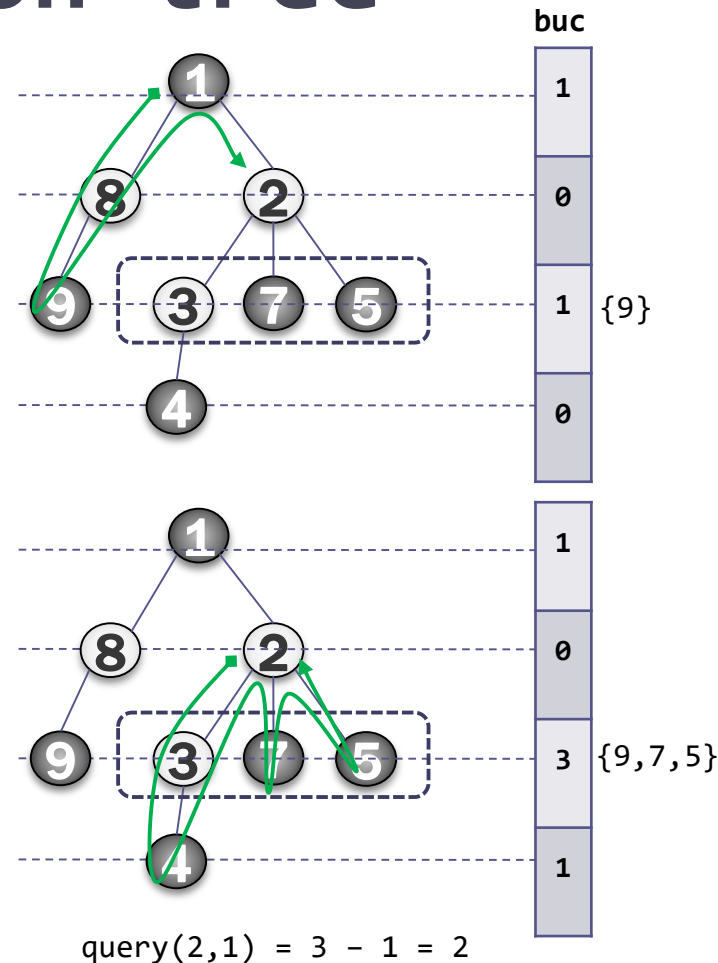


two constrains:

1. $v \in sub(u)$
2. $dep[v] = dep[u] + k$

in-out difference on tree

- Run dfs traversal and maintain a bucket array `buc[1...n]` for each depth. Each time entering a black vertex `v`, record it in `buc[dep[v]]`.
- query(u,k)*: check `buc[dep[u]+k]` whenever you enter as well as leave `u`, the difference is affected only by those black vertex in *sub(u)*.
 - enter `u`: `ans0 = buc[dep[u]+k]`
 - leave `u`: `ans1 = buc[dep[u]+k]`
 - `ans[u] = ans1 - ans0`
- $O(n)$
- online?
 - persistent segment tree





War Story: Tiantian loves running

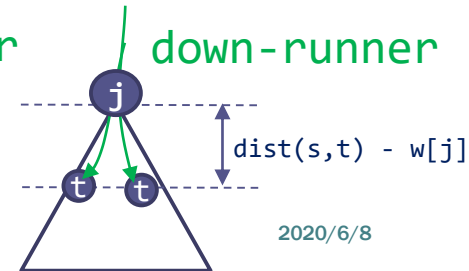
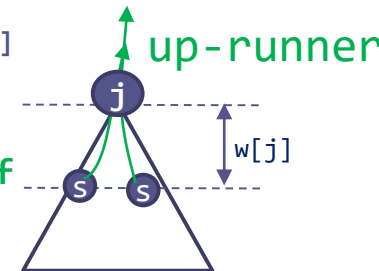
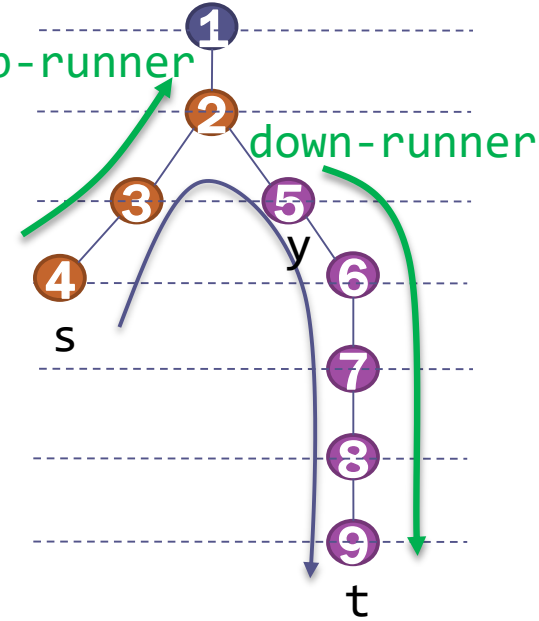
- NOIP2016 Day1T2
- Giving a tree with n vertex. m runners run from $s[i]$ to $t[i]$ ($i=1\dots m$). All runners depart at $t=0$ and run at the speed of 1 edge per second.
- n observers at each node with observation time $W[j]$ ($j=1\dots n$). The observer j will recode the number of runners that just happened to reach j at $t = W[j]$.
- Output the answer for each observer.
- $n, m = 3e5$

[loj2359] Tiantian loves running

<https://loj.ac/problem/2359>

War Story: Tiantian loves running

- A runner from s to t can be decomposed into 2 runners:
 - a up-runner runs from s to $z = lca(u,v)$
 - a down-runner runs from y to t , where y is both $son(z)$ and $anc(t)$
- For observer j with $w[j]$:
 - a up-runner i can be recorded if:
 - $s[i] \in sub(j)$ and $t[i] \notin sub(j)$
 - $dep[s[i]] - dep[j] = w[j]$
 - add i to $buc[dep[s[i]]]$ when enter $s[i]$
 - query j at $buc[w[j] + dep[j]]$
 - remove i from buc when leave z
 - a down-runner i can be recorded if:
 - $s[i] \notin sub(j)$ and $t[i] \in sub(j)$
 - $dist(s[i], t[i]) - (dep[t[i]] - dep[j]) = w[j]$
 - add i to $buc[dist(s[i], t[i]) - dep[t[i]]]$ when enter $s[i]$
 - query j at $buc[w[j] - dep[j]]$
 - remove i from buc when leave y
- use in-out difference on tree: $O(n \log n)$, $O(n)$ if using $O(n)$ lca





Tasks

- Task 1-3

$\text{lca}(1,4) = ?$

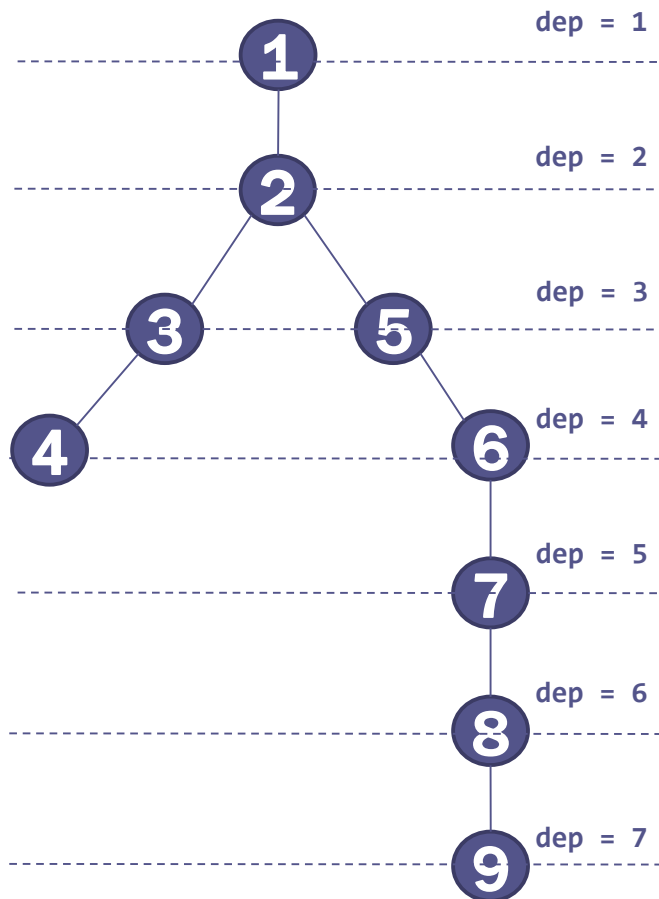
- A. 1
- B. 2
- C. 3
- D. 4

$\text{lca}(3,5) = ?$

- A. 2
- B. 1
- C. 3
- D. 5

$\text{lca}(2,9) = ?$

- A. 1
- B. 2
- C. 5
- D. 6



• Task 4-7

$\text{anc}[9][0] = ?$

- ▣ A. 9
- ▣ B. 8
- ▣ C. 7
- ▣ D. 6

$\text{anc}[9][1] = ?$

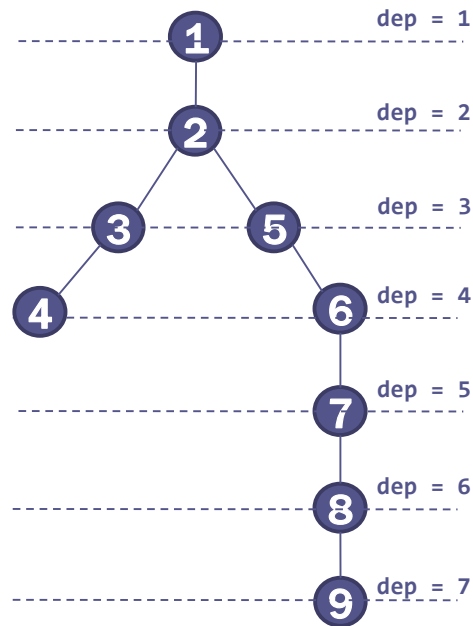
- ▣ A. 9
- ▣ B. 8
- ▣ C. 7
- ▣ D. 6

$\text{anc}[9][2] = ?$

- ▣ A. 1
- ▣ B. 2
- ▣ C. 5
- ▣ D. 6

$\text{anc}[9][3] = ?$

- ▣ A. 0
- ▣ B. 1
- ▣ C. 5
- ▣ D. 6



```

vector<int> adj[MAXN];
int dfn[MAXN], timer = 0;
int dep[MAXN], anc[MAXN][21];

void dfs(int u){
    dfn[u] = ++timer;

    for(int j=1;j<=20;j++){
        anc[u][j] = anc[anc[u][j-1]][j-1];
    }

    int v;
    for(int k=0;k<adj[u].size();k++){
        v = adj[u][k];
        if(dfn[v]) continue;
        dep[v] = dep[u] + 1;
        anc[v][0] = u;
        dfs(v);
    }
}
  
```

- Task 8

In lca problem, what is the time complexity of: binary lifting, RMQ(Sparse table), and Tarjan's method:

- A. $O(n \log n) - O(\log n)$, $O(n \log n) - O(1)$, $O(n \alpha(n))$
- B. $O(n \log n) - O(1)$, $O(n \log n) - O(\log n)$, $O(n \alpha(n))$
- C. $O(n \log n) - O(1)$, $O(n \log n) - O(1)$, $O(n)$
- C. $O(n \log n) - O(\log n)$, $O(n \log n) - O(\log n)$, $O(n)$

- Task 9

What strategies/ideas are used in binary lifting method (select 2 options):

- A. Greedy
- B. Dynamic Programming
- C. Divide and Conquer(Binary search)
- C. Brute Force

• Task 10

In order to get faster, which if-statement can be put on “?”:

- A. `if(dep[u] <= (1<<j)) continue;`
- B. `if(dep[u] < (1<<j)) continue;`
- A. `if(dep[u] <= j) continue;`
- B. `if(dep[u] < j) continue;`

• Task 11

Giving a rooted tree with black/white vertex, *query*(*u*,*k*): output the number of black vertex $v \in \text{sub}(u)$ and $\text{dist}(u, v) = k$. (same problem on page 16)

If you use persistent segment tree to solve the *online* version of this problem, what is the time and space complexity?

- A. $O(n \log n)$, $O(n)$
- B. $O(n)$, $O(n)$
- C. $O(n \log^2 n)$, $O(n \log n)$
- A. $O(n \log n)$, $O(n \log n)$

```
void dfs(int u){
    dfn[u] = ++timer;

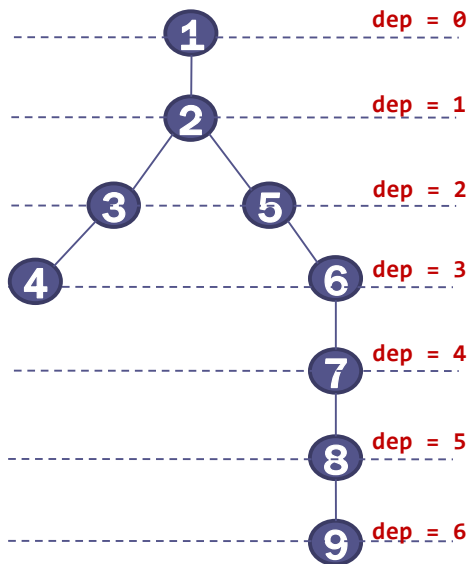
    for(int j=1;j<=20;j++){
        ____?____
        anc[u][j] = anc[anc[u][j-1]][j-1];
    }

    int v;
    for(int k=0;k<adj[u].size();k++){
        v = adj[u][k];
        if(dfn[v]) continue;
        dep[v] = dep[u] + 1;
        anc[v][0] = u;
        dfs(v);
    }
}
```


• Task 12

Try to hack the program using binary lifting method with **dep[rt]=0**. Construct a set of input(u,v) to make the program go wrong.

- A. u=3, v=5
- B. u=1, v=5
- C. u=2, v=5
- D. u=4, v=3



```
vector<int> adj[MAXN];
int dfn[MAXN], timer = 0;
int dep[MAXN], anc[MAXN][21];

void dfs(int u){
    dfn[u] = ++timer;

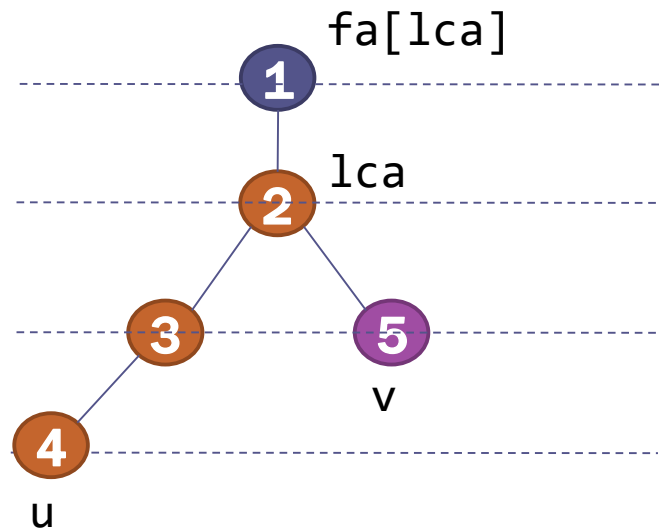
    for(int j=1;j<=20;j++){
        anc[u][j] = anc[anc[u][j-1]][j-1];
    }

    int v;
    for(int k=0;k<adj[u].size();k++){
        v = adj[u][k];
        if(dfn[v]) continue;
        dep[v] = dep[u] + 1;
        anc[v][0] = u;
        dfs(v);
    }
}

int lca(int u, int v){
    if(dep[u] < dep[v]) swap(u,v);
    for(int j=20;j>=0;j--){
        if(dep[anc[u][j]] >= dep[v]){
            u = anc[u][j];
        }
    }
    if(u == v) return u;
    for(int j=20;j>=0;j--){
        if(anc[u][j] != anc[v][j]){
            u = anc[u][j];
            v = anc[v][j];
        }
    }
    return anc[u][0];
}
```

- Task 13
- Using the difference array $d[]$, after $+1$ operation on $\text{path}(4,5)$, the $d[1-5]$ will become:

- A. $[0,0,0,1,1]$
- B. $[-1,-1,0,1,1]$
- C. $[0,-2,0,1,1]$
- D. $[-2,0,0,1,1]$



- Answer for task 1-13

- A/A/B
- B/C/C/A
- A/BC
- A/D
- B
- B

- Task 14-20

- [SPOJ] LCA
 - <https://www.spoj.com/problems/LCA/>
- [CF191C] Fools and Roads
 - <https://codeforces.com/problemset/problem/191/C>
- [loj2359] Tiantian loves running
 - <https://loj.ac/problem/2359>
- [CF980E] The Number Games
- [CF519E] A and B and Lecture Rooms
- [CF832D] Misha, Grisha and Underground
- [CF1110F] Nearest Leaf
- [CF1076E] Vasya and a Tree
- [CF739B] Alyona and a tree